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January 18, 2007
Date

Marlene Capri
Signature of certifier

Marlene Capri
Printed name

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:	Patent Application of Karl Heinz Schmid, <i>et al.</i>	: Group Art Unit: 1615 : :
Appln. No.:	10/553,165	: Examiner: Unknown : :
Filed:	August 4, 2006	: Confirmation No.: 9073 : :
For:	COSMETIC OLIGO- α -OLEFIN CONTAINING COMPOUND	: Attorney Docket : No.: C 2869 PCT/US

Customer No.: 23657

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We hereby request the following corrections of the Filing Receipt for Serial No. 10/553,165.

Applicant(s) should be corrected for the third listed inventor, as follows:

Achim Ansmann, **Erkrath**, GERMANY;

Domestic Priority data for the above-referenced application should be corrected to read:

This application is a 371 of PCT/EP04/103693 04/07/2004

Thank you for your assistance in this matter.

January 18, 2007
Date

Cognis Corporation
300 Brookside Avenue
Ambler, PA 19002

Respectfully submitted,

Arthur G. Seifert
Arthur G. Seifert
(Reg. No. 28,040)
Attorney for Applicants
215-628-1129

Enc.: (1) Filing Receipt (true copy marked to show corrections)
(2) Executed Declaration (copy)(showing correct information)

AGS/mc



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/553,165	08/04/2006	1615	1180	C 2869 PCT/US		23	3

CONFIRMATION NO. 9073

23657
COGNIS CORPORATION
PATENT DEPARTMENT
300 BROOKSIDE AVENUE
AMBLER, PA 19002

FILING RECEIPT



OC000000021874656

Date Mailed: 01/09/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Karl Heinz Schmid, Mettmann, GERMANY;
Alfred Westfechtel, Hilden, GERMANY;
Achim Ansmann, ~~Erkath~~, GERMANY; *Erkath*
Markus Dierker, Duesseldorf, GERMANY;
Stefan Bruening, Philadelphia, PA;

Power of Attorney: The patent practitioners associated with Customer Number 23657.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/03693 04/17/2004 ⁰⁷

Foreign Applications

GERMANY 103 17 781.7 04/16/2003
GERMANY 103 24 508.1 05/28/2003
GERMANY 103 36 172.3 08/07/2003

RECEIVED COGNIS LAW DEPT.
ACTION _____ INFO _____

JAN 16 2007

If Required, Foreign Filing License Granted: 01/05/2007

DUE _____
FILE C2869 PCT/US

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/553,165**

Projected Publication Date: 04/19/2007

ENTERED
WINPAT DATABASE

Non-Publication Request: No

Early Publication Request: No

Title

Cosmetic oligo-alpha-olefin containing compound

Preliminary Class

424

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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<small>0010/PTO Rev. 6/95</small>	<small>U.S. Department of Commerce Patent and Trademark Office</small>	Attorney Docket Number	C 2869 PCT/US	
<h2>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</h2> <div style="display: flex; justify-content: space-around;"><div><input type="checkbox"/> Declaration Submitted with Initial Filing</div><div>OR</div><div><input checked="" type="checkbox"/> Declaration Submitted after Initial Filing</div></div>		First Named Inventor	SCHMID, Karl Heinz	
		<i>COMPLETE IF KNOWN</i>		
		Application Number	10/553,165	
		Filing Date		
		Group Art Unit		
		Examiner Name		

As a below named inventor, I hereby declare that:
My residence, post office address, and citizenship are as stated below next to my name.
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below)
of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COSMETIC OLIGO- α -OLEFIN CONTAINING COMPOUND

(Title of the invention)

the specification of which
☐ is attached hereto
OR
☒ was filed on (MM/DD/YYYY) 04/07/2004 as United States Application Number or PCT International

Application Number PCT/EP2004/003693 and was amended on (MM/DD/YYYY) (If applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority		Certified Copy Attached?	
			Not Claimed		YES	NO
103 17 781.7	Germany	04/16/2003	<input type="checkbox"/>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
103 24 508.1	Germany	05/28/2003	<input type="checkbox"/>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
103 36 172.3	Germany	08/07/2003	<input type="checkbox"/>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
		<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Type a plus sign (+) inside this box • ☐

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DECLARATION**Page 2**

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365© of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP2004/003693	04/07/2004	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☒ Firm Name **23657** Customer Number or label ☐

OR

☐ List Attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☒ Customer Number or label **23657** OR ☐ Fill in correspondence address below

Name			
Address			
Address			
City	State	Zip	
Country	Telephone	Fax	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name	Karl Heinz	Middle Initial		Family Name	SCHMID	Suffix e.g. Jr.	
Inventor's Signature	<i>Karl Heinz Schmid</i>				Date	07/12/05	
Residence: City	Mettmann	State		Country	Germany	Citizenship	German
Post Office Address	Stifterstrasse 10						
Post Office Address							
City	40822 Mettmann	State		Zip		Country	Germany
						Applicant Authority	

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

Type a plus sign (+) inside this box ☐

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DECLARATION					ADDITIONAL INVENTOR(S) Supplemental Sheet		
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	Alfred		Middle Initial		Family Name	WESTFECHTEL	
Inventor's Signature	<i>Alfred Westfechtel</i>				Date	11.11.05	
Residence: City	Hilden		State		Country	Germany	
Post Office Address		Menzelweg 74					
Post Office Address							
City	40724 Hilden		State		Zip		
		Country	Germany		Applicant Authority		
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	Achim		Middle Initial		Family Name	ANSMANN	
Inventor's Signature	<i>Achim Ansmann</i>				Date	15.11.05	
Residence: City	Erkrath		State		Country	Germany	
Post Office Address		Kirchberg 25					
Post Office Address							
City	40699 Erkrath		State		Zip		
		Country	Germany		Applicant Authority		
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	Markus		Middle Initial		Family Name	DIERKER	
Inventor's Signature	<i>Markus Dierker</i>				Date	15.11.05	
Residence: City	Duesseldorf		State		Country	Germany	
Post Office Address		Benrodestrasse 129					
Post Office Address							
City	40597 Duesseldorf		State		Zip		
		Country	Germany		Applicant Authority		
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	Stefan		Middle Initial		Family Name	BRUENING	
Inventor's Signature	<i>Stefan Bruening</i>				Date	23.11.05	
Residence: City	Philadelphia		State	PA	Country	USA	
Post Office Address		32 East Springfield Avenue					
City	Philadelphia		State	PA	Zip	19118	
		Country	USA		Applicant Authority		
<input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto							